



23026 U.S. PTO

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Attorney Docket No. 57636-8013.US01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Laus *et al.*

APPLICATION NO.: Not Assigned

FILED: February 4, 2004

FOR: **COMPOSITION AND METHOD FOR
PRODUCING AN IMMUNE RESPONSE
AGAINST TUMOR-RELATED ANTIGENS**

EXAMINER: Not Assigned

ART UNIT: Not Assigned

CONF. NO: Not Assigned

15535 U.S. PTO
10/772856
020404

**Transmittal of Continuation Patent Application
for Filing Under 37 CFR §1.53(b)**

**Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Washington, D.C. 22313-1450**

Sir: Transmitted herewith for filing is a patent application by inventors: Reiner Laus, Curtis L. Ruegg, Michael H. Shapero, Demao Yang, and entitled:

**COMPOSITION AND METHOD FOR INDUCING AN IMMUNE RESPONSE
AGAINST TUMOR-RELATED ANTIGENS**

1. Enclosed are:

- ☒ One stamped, self-addressed postcard for PTO datestamp
- ☒ Certificate of Express Mail
- ☒ One utility patent application containing text pages 1-21 and
☐ Sheets of drawings
- ☒ 4 Declarations of Inventorship (Signed Copies)
- ☒ Sequence listing printout and matching declaration from parent application
- ☒ Request to Use Computer-Readable Form of Sequence Listing from parent application No. 09/402,845
- ☒ A Preliminary Amendment

2. Amendment

- ☒ Please enter the enclosed Preliminary Amendment before calculating the filing fee. It is understood that only amendments reducing the number of claims will be entered for this purpose.

3. Extension of Time

- ☒ A petition for extension of time has been filed in the parent to extend the pendency of the parent to February 11, 2004 (copy enclosed).
- ☒ Conditional Petition for Extension of Time: An Extension of Time is requested to provide for timely filing if required to establish copendency with the parent after all

papers filed herewith have been considered.

4. U.S. Priority

- ☒ This application is a continuation of U.S. Application No. 09/402,845 filed March 14, 2000, now pending, which is a 35 USC §371 application of International Application No. PCT/US98/07232 filed April 10, 1998, designating the United States; which claims priority to U.S. Provisional Application No. 60/043,301, filed April 11, 1997, now abandoned, all of which are incorporated herein in their entirety by reference.

5. Foreign Priority

- ☒ Priority of Application No. PCT/US98/07232 filed in US on 04/10/1998 is claimed under 35 USC §119.
- ☐ A certified copy of this priority document is enclosed.

6. Prior Documents Still In Effect

- ☒ This application and parent application, Application No. 09/402,845, filed March 14, 2000 are assigned of record to Dendreon Corporation, as recorded in the U.S. Patent and Trademark Office at Reel 010688 and Frame 0066.
- ☒ Power of Attorney in the above-identified prior application is to Judy M. Mohr, Reg. No. 38,563, and the other attorneys or agents identified therein.

7. Entity Status

- ☒ Large entity status applies to this application.
- ☐ Applicant claims small entity status. See 37 CFR §1.27

8. Fees

The filing fee has been calculated taking into account any amendments in section 2 above:

For:	(Col. 1) No. Filed	(Col. 2) No. Extra	Small Entity			Other Than a Small Entity	
			Rate	Fee		Rate	Fee
Basic Fee				\$385.00	or		\$770.00
Total Claims	5 - 20		x \$ 9 =	\$	or	x \$ 18 =	\$
Independent Claims	2 - 3		x \$43 =	\$	or	x \$ 86 =	\$
<input type="checkbox"/> Multiple Dependent Claim Presented			+ \$145 =	\$	or	+ \$290 =	\$
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			TOTAL	\$	or	TOTAL	\$770.00

- ☒ A check in the amount of \$770.00 is enclosed to cover the Filing Fee. The Commissioner is hereby authorized to charge any deficiency in fees under 37 CFR 1.16 and 1.17 to Deposit Account No. 50-2207.

Respectfully submitted,

Date: 2/4/04

Judy M. Mohr
Judy M. Mohr
Registration No. 38,563

Correspondence Address:

Customer No. 22918
(650) 838-4300

COPY

PTO/SB/22 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. 1.136(a)

Docket Number (Optional)

57636-8013.US00

In re Application of

Laus et al.

Application Number

09/402,845

Filed

March 14, 2000

For

COMPOSITION AND METHOD FOR PRODUCING AN IMMUNE
RESPONSE AGAINST TUMOR-RELATED ANTIGENS

Group Art Unit

1642

Examiner

Ungar, Susan NMN

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- | | |
|--|----------|
| <input type="checkbox"/> One month (37 CFR 1.17(a)(1)) | \$ 110 |
| <input type="checkbox"/> Two months (37 CFR 1.17(a)(2)) | \$ 420 |
| <input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3)) | \$ 950 |
| <input type="checkbox"/> Four months (37 CFR 1.17(a)(4)) | \$ 1,480 |
| <input type="checkbox"/> Five months (37 CFR 1.17(a)(5)) | \$ 2,010 |

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ ____.

☒ A check including the amount of the fee is enclosed.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-2207.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record. Registration number 38,563.

☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a): ____.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

2/4/04

Date

(650) 838-4402

Telephone Number

Judy M. Mohr

Signature

Judy M. Mohr

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

This form is estimated to take 6 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.